

## Innovate Financial Solutions Limited

Independent Financial Advisers

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## Data Protection Statement

The information you have provided is subject to the General Data Protection Regulation. By signing this document you consent to us or any company associated with us (such companies include, for the avoidance of doubt, True Potential LLP and any member of its group and/or companies, persons or entities of any nature whatsoever with which it is associated or allied from time to time) for processing, both manually and by electronic means, your personal data for the purposes of providing advice, administration and management. "Processing" includes obtaining, recording or holding information or data, transferring it to other companies associated with us, research and product providers, the Financial Conduct Authority or any other statutory, governmental or regulatory body for legitimate purposes including, where relevant, to solicitors and/or other debt collection agencies for debt collection purposes and carrying out operations on the information or data.

We may also contact you or pass your details to other companies associated with us to contact you (including by telephone) with details of any other similar products, promotions, or for related marketing purposes in which we think you may be interested.

The information provided may also contain sensitive personal data for the purposes of the Act, being information as to your physical or mental health or condition; the commission or alleged commission of any offence by you; any proceedings for an offence committed or alleged to have been committed by you, including the outcome or sentence in such proceedings; your political opinions, religious or similar beliefs, sexual life; or your membership of a Trade Union.

If at any time you wish us or any company associated with us to cease processing your personal data or sensitive personal data, or contacting you for marketing purposes, please contact The Data Protection Officer at **Innovate Financial Solutions Limited**.

You may be assured that we and any company associated with us will treat all personal data and sensitive personal data as confidential and will not process it other than for legitimate purposes. Steps will be taken to ensure that the information is accurate, kept up to date and not kept for longer than is necessary. Measures will also be taken to safeguard against unauthorised or unlawful processing and accidental loss or destruction or damage to the data.

Product Providers and Investment Managers may administer your policy, any existing policies you may have with them and provide other services from centres in countries outside the United Kingdom that do not always have the same standard of Data Protection laws as the UK. However, they are required to put a contract in place to ensure that your information is adequately protected, and they will remain bound by their obligations under the Data Protection Act even when your personal information is processed outside the UK.

Subject to certain exceptions, you are entitled to have access to your personal and sensitive personal data held by us. You may be charged a fee (subject to the statutory maximum) for supplying you with such data.

## Termination

The authority to act on your behalf may be terminated at any time without penalty by either party giving seven days' notice in writing to that effect to the other, but without prejudice to the completion of transactions already initiated. With regard to any transactions effected before termination, a due proportion of any period charges for services shall be settled to that date.

## Business Transition

Innovate Financial Solutions Ltd reserves the right to pass all stored data to any potential buyer of the business subject to the potential buyer's written confirmation that data will not be used outside of the purpose of investigating the business case for a potential purchase.

## Law

This Client Agreement is governed by and shall be construed in accordance with English Law and the parties shall submit to the exclusive jurisdiction of the English Courts.

**Please complete & sign the declaration at the end of this document**

# Data Protection Declaration

## Client consent

For your own benefit and protection, you should read the terms carefully before signing, as by signing you consent to the transfer of information between parties as described above.

I understand and consent to the transfer of information, as described above on a confidential basis when warranted between such third parties. I agree that this agreement will come into effect from the date of issue.

Please tick this box  **to confirm your consent** to us processing any such sensitive data.

Please tick this box  **to confirm your consent** to any company associated with us processing any such sensitive data.

Please tick the following boxes **to confirm your consent** for us to contact you for marketing purposes by:

- |                                    |                  |                                    |
|------------------------------------|------------------|------------------------------------|
| <input type="checkbox"/> e-mail    | Preferred Method | <input type="checkbox"/> e-mail    |
| <input type="checkbox"/> telephone |                  | <input type="checkbox"/> telephone |
| <input type="checkbox"/> post      |                  | <input type="checkbox"/> post      |
| <input type="checkbox"/> SMS       |                  | <input type="checkbox"/> SMS       |

Please tick this box  **to confirm your consent** for any company associated with us to contact you for marketing purposes by e-mail, telephone, post or SMS as indicated above.

I have read and understood the above and have given consent where applicable;

|                           |  |
|---------------------------|--|
| <b>Customer Name</b>      |  |
| <b>Customer signature</b> |  |
| <b>Date of issue</b>      |  |

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|------------------------------------|------------------|------------------------------------|
| <input type="checkbox"/> e-mail    | Preferred Method | <input type="checkbox"/> e-mail    |
| <input type="checkbox"/> telephone |                  | <input type="checkbox"/> telephone |
| <input type="checkbox"/> post      |                  | <input type="checkbox"/> post      |
| <input type="checkbox"/> SMS       |                  | <input type="checkbox"/> SMS       |

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